08-01789-cgm Doc 2815-1 Filed 07/27/10 Entered 07/27/10 14:46:53 Exhibit A Pg 2 of 22

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		CUSTON	NER ÇLAIM	Claim Number
				Date Received
		BERNARD L. MADOFF IN	IVESTMENT SECUR	TIES LLC
		In L	iquidation	COPY
٠.	, -	DECEM	BER 11, 2008	
	unstee tot Beluar	Irving H. Picard, Esq.		and home telephone no.
		rims Processing Center McKinney Ave., Suite 800 Dallas, TX 75201	OFFICE: 212	-808 - 7205
		7777	номе: <u>१14</u>	-723 - 6151
	配應数額配 Account Number:	1CM093	Taxpayer I.D. Numb	er (Social Security No.)
	JANET JAFFIN REV	OCARI E TRUCT		
	230 PARK AVENUE	10TH FL #16		
,//	NEW YORK, NY 10	169		
\overline{A}	1-cm093	-3-0	•	•
6 4	1-cm 093	-3-0		•
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				in the second
	(If incom	rect, please change)		
	NOTE:	BEFORE COMPLETING THIS CL ACCOMPANYING INSTRUCTION BE FILED FOR EACH ACCOUNT AFFORDED UNDER SIPA, ALL OF TRUSTEE ON OR BEFORE MAD DATE, BUT ON OR BEFORE J PROCESSING AND TO BEING S. CLAIMANT. PLEASE SEND YOU RECEIPT REQUESTED.	N SHEET. A SEPARAT IT AND, TO RECEIVE USTOMER CLAIMS MU ICH 4, 2009. CLAIMS UIY 2, 2009, WILL BE ATISFIED ON TERMS L	THE FULL PROTECTION IST BE RECEIVED BY THE RECEIVED AFTER THAT SUBJECT TO DELAYED ESS FAVORABLE TO THE
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	1.	Claim for money balances as o a. The Broker owes me a Clb. I owe the Broker a Debit (redit (Cr.) Balance of	\$ MOHE \$ MOHE

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	C.	If you wish to repay the Debit balance,	•	
		please insert the amount you wish to repa	ıy and	
		attach a check payable to "Irving H. Picar	d, Esq.,	
		Trustee for Bernard L. Madoff Investment		•
		If you wish to make a payment, it must b		•
8 · · · · ·	4	with this claim form.	\$	NIA
g Parisa	d.	If balance is zero, insert "None."		IONE_
2.	١,٠	m for securities as of December 11, 2008:		
PLEASE	DO I	NOT CLAIM ANY SECURITIES YOU HAV	E IN YOUR POS	SESSION.
			YES	NO
	a.	The Broker owes me securities		
	b.	I owe the Broker securities		
	C.	If yes to either, please list below:		
				er of Shares or nount of Bonds
D-1- of			The Brok	er 10we
Date of Transact			Owes Me	the Broker
(trade da	ite)	Name of Security	(Long)	(Short)
		Per Attachal Schedle	- fu.385,639	
	<u>-</u>	Per Aftached Schidde	<u> </u>	(<u>307,560</u>)
				·
		<u>·</u>		
				,
			-	

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	:	
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	· ·	
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	:	
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		
502180406	3	:	,

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9.	Have you or any member ever filed a claim under th Investor Protection Act of so, give name of that brok	e Securities 1970? if			
	Please list the full name a preparation of this claim for	nd address of anyone assisting you in the			
lf you case,	cannot compute the amount or please indicate your claim is	of your claim, you may file an estimated claim. In that			
CON	VICTION CAN RESULT IN	A FINE OF NOT MORE THAN \$50,000 OR			
	A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR CONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH. OREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY MATION AND BELIEF.				
Date		Signature			
Date .		Signature			
addre	ess, phone number, and exter a personal account, e.g., corpo	ared, all must sign above. Give each owner's name, at of ownership on a signed separate sheet. If other prate, trustee, custodian, etc., also state your capacity trust agreement or other proof of authority.)			

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Trustee at the Receipt Address on or before July 2, 2009.

IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE,
PLEASE CONTACT THE TRUSTEE'S SPECIFICALLY
ESTABLISHED HOTLINE AT 888-727-8695.

THE TRUSTEE HAS REPRESENTATIVES READY FROM 8:00 A.M. (CENTRAL TIME) UNTIL MIDNIGHT (CENTRAL TIME)
ON THE HOTLINE TO ANSWER YOUR OUESTIONS.

DO NOT CONTACT THE TRUSTEE DIRECTLY

Dated: June 19, 2009 New York, New York

Irving H. Recard, Esq.

Trustee for the Liquidation of the

Business of Bernard L. Madoff Investment

Securities LLC

The bankruptcy court has set March 4, 2009 as the final day for filing customer claims. If your claim is received by the Trustee after March 4, 2009 but on or before July 2, 2009, your claim is subject to delayed processing and to being satisfied on terms less favorable to you.

The law governing this proceeding absolutely bars the allowance of any claim, including a customer claim, not actually received by the trustee on or before July 2, 2009. Neither the Trustee nor SIPC has authority to grant extensions of time for filing of claims, regardless of the reason. If your claim is received even one day late, it will be disallowed.

Please file well in advance so that there will be time to re-file if, for instance, your claim is lost in the mail.

Where To File

The completed and signed claim form, together with supporting documents should be mailed **promptly** in the enclosed envelope to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - *** RETURN RECEIPT REQUESTED

Your claim is not filed until received by the Trustee. If the Trustee does not receive your claim, although timely mailed, you could lose all your rights against the Broker. Your return receipt will be the only document you will receive that shows your claim has been received by the Trustee.

THIS INSTRUCTION SHEET IS FOR YOUR FILE - DO NOT RETURN

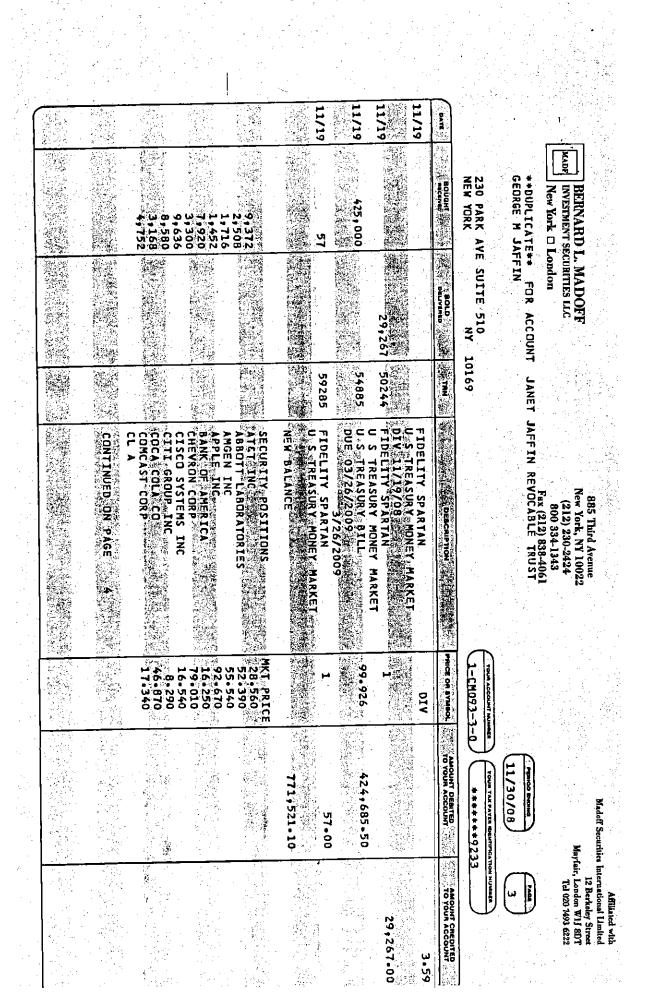
YOU SHOULD RETAIN A COPY OF THE COMPLETED CLAIM FORM FOR YOUR RECORDS.

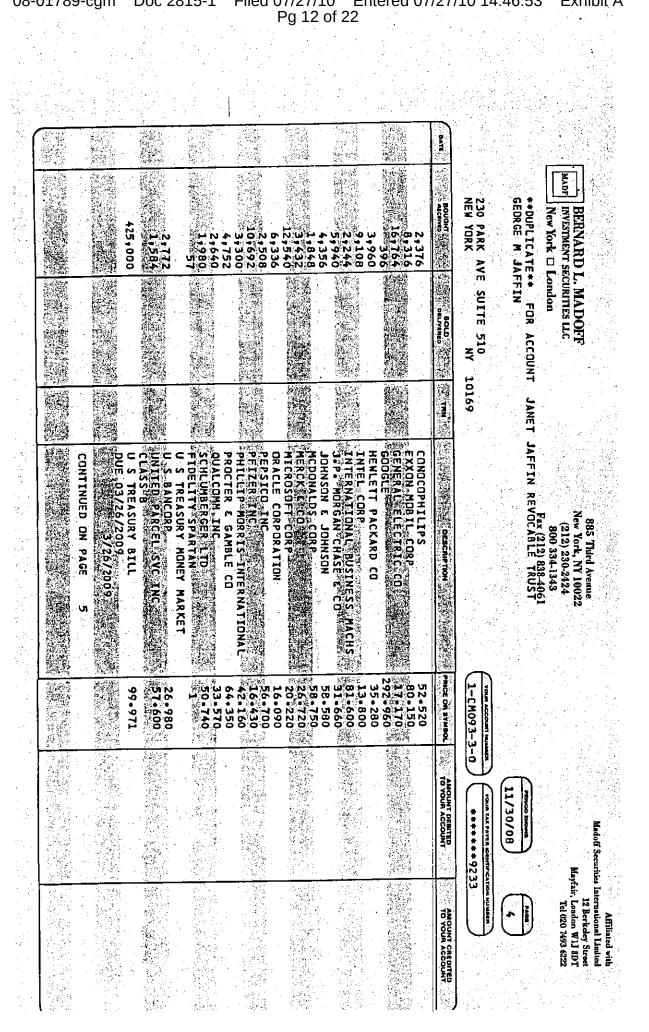
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	Pg	8 of 22			
CUSTOMER CLAIM:	JANET JAFFIN REVOCABLE TRUST	<u> </u>			
ACCOUNT #:	1-CM093-3-0	<u> </u>			
					
	<u> </u>	↓ ,			
		 			<u> </u>
BERNARD L. MADOFF	INVESTMENT SECURITIES LLC	 			
		 			 -
DECEMBER 11, 2008					
		+			
QUESTION #2 : CLAIN	FOR SECURITIES AS OF DECEMBER 11, 2008:	 			
		NUMBER OF	SHARES OR		
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DATE OF		THE BROKER	OWE THE		
TRANSACTION	· · · · · · · · · · · · · · · · · ·	OWES ME	BROKER	MARKET VALUE	TOTAL VALUE
(trade date)	NAME OF SECURITY	(LONG)	(SHORT)	12/11/08	12/11/08
(tipos coto)					
11/12/0A	AT& T INC.	9,372.00		23.88	223,803.36
	ABBOTT LABORATORIES	2,508.00		51.43	128,986.44
	AMGEN, INC.	1,716.00		57.87	99,304.92
	APPLE, INC.	1,452.00		98.04	142,354.08
	BANK OF AMERICA	7,920.00		15.60	123,552.00
	CHEVRON CORP.	3,300.00		80.31	265,023.00
	CISCO SYSTEMS INC.	9,636,00		17.24	166,124.64
	CITI GROUP INC.	8,580.00		9.69	84,856.20
	COCA COLA CO.	3,168.00		43.03	136,319.04
	COMCAST CORP. CL A	4,752.00		19.77	93,947.0 127,519.9
	CONOCOPHILIPS	2,376.00		53.67	670,851.7
	EXXON MOBIL CORP.	8,316.00	-	80.67 17.30	290,017.2
	GENERAL ELECTRIC	16,764.00		305.34	120,914.6
	GOOGLE	396.00		35.25	139,590.00
	HEWLETT PACKARD CO.	3,960.00 9,108.00	_	14.29	130,153.32
	INTEL CO.	2,244.00		81.32	182,482.00
	J.P. MORGAN CHASE	5,940.00		31.25	185,625.0
	JOHNSON & JOHNSON	4,356.00		57.95	252,430.2
	MCDONALDS CORP.	1,848.00		61.54	113,725.9
	MERCK & CO.	3,432.00		26.99	92,629.6
	MICROSOFT CORP.	12,540.00		19.72	247,288.8
	ORACLE CORPORATION	6,336.00		16.85	106,761.6
	PEPSICO INC.	2,508.00		53.22	133,475.7
	PFIZER INC.	10,692.00		16.59	177,380.2
	PHILLIP MORRIS INTERNATIONAL	3,300.00		42.13	139,029.0
 	PROCTER & GAMBLE CO.	4,752.00	ļ	58.68	278,847.3
	QUALCOM INC.	2,640.00	Ļ	33,44	88,28 <u>1.6</u>
	SCHLUMBERGER LTD.	1,980.00		43.25	85,635,0
	FIDELITY SPARTAN US TREAS MONEY MKT	57.00		1.00	57.0
	US BANCORP	2,772.00	L	25.57	70,880.0
	UNITED PARCEL SERVICE INC. CL B	1,584.00		52.57	83,270.8
	US TREASURY BILL DUE 03/26/09	425,000.00		99.971	424,876.7 75,477.6
	UNITED TECHNOLOGIES CORP.	1,584.00		47.65 32.82	147,296,1
	VERIZON COMMUNICATIONS	4,488.00		55.02	188,828.6
	WAL-MART STORES INC.	3,432.00		27.10	150,242.4
	WELLS FARGO & CO NEW	5,544.00		27.10	100/276.7
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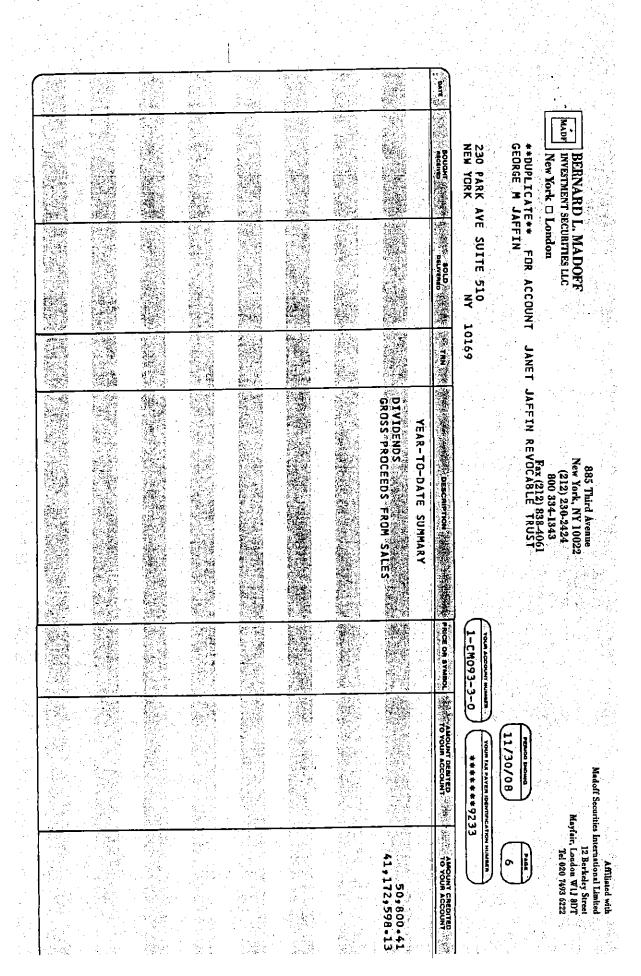
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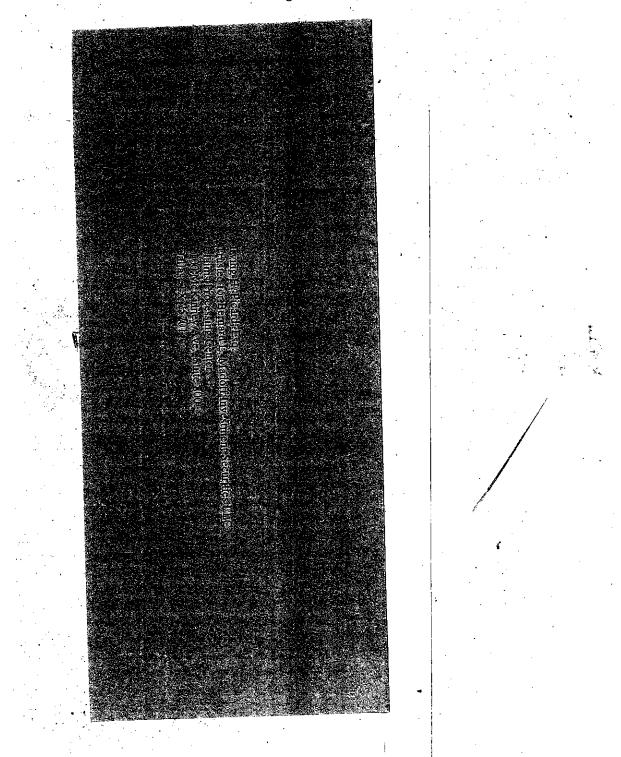




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UNITED STATES BANKRUPTCY COURT FOR THE SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant,

٧.

BERNARD L. MADOFF INVESTMENT SECURITIES LLC,

Defendant.

Adversary Proceeding

No. 08-01789-BRL

NOTICE TO CUSTOMERS AND CREDITORS OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC AND TO ALL OTHER PARTIES IN INTEREST

COMMENCEMENT OF LIQUIDATION PROCEEDING

NOTICE IS HEREBY GIVEN that on December 15, 2008, the Honorable Louis A. Stanton of the United States District Court for the Southern District of New York, entered an Order granting the application of the Securities Investor Protection Corporation ("SIPC") for issuance of a Protective Decree adjudicating that the customers of Bernard L. Madoff Investment Securities LLC (the "Debtor"), are in need of the protection afforded by the Securities Investor Protection Act of 1970, 15 U.S.C. §§ 78aaa et seq. ("SIPA"). Irving H. Picard, Esq. ("Trustee") was appointed Trustee for the liquidation of the business of the Debtor, and Baker & Hostetler LLP was appointed as counsel to the Trustee. Customers of the Debtor who wish to avail themselves of the protection afforded to them under SIPA are required to file their claims with the Trustee within sixty (60) days after the date of this Notice. Customers may file their claims up to six months after the date of this Notice; however, the filing of claims after the sixty (60) day period but within the six month period may result in less protection for the customer. Such claims should be filed with the Trustee at Irving

MEETING OF CREDITORS

NOTICE IS HEREBY GIVEN that the first meeting of customers and creditors will be held on February 20, 2009, at 10:00 a.m., at the Auditorium at the United States Bankruptcy Court, Southern District of New York, One Bowling Green, New York, New York 10004, at which time and place customers and creditors may attend, examine the Debtor, and transact such other business as may properly come before said meeting.

HEARING ON DISINTERESTEDNESS OF TRUSTEE AND COUNSEL TO THE TRUSTEE

NOTICE IS HEREBY GIVEN that on February 4, 2009, at 10:00 a.m., at Courtroom 601 of the United States Bankruptcy Court, Southern District of New York, One Bowling Green, New York, New York 10004, has been set as the time and place for the hearing before the Honorable Burton R. Lifland, United States Bankruptcy Judge, of objections, if any, to the retention in office of Irving H. Picard, Esq., as Trustee, and Baker & Hostetler LLP, as counsel to the Trustee, upon the ground that they are not qualified or not disinterested as provided in SIPA § 78ece(b)(6). Objections, if any, must be filed not less than five (5) days prior to such hearing, with a copy to be served on counsel for the Trustee at Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, New York 10111, attn: Douglas E. Spelfogel, Esq., so to be received no fewer than five (5) days before the hearing.

NOTICE IS HEREBY GIVEN that copies of this Notice, the letter to customers, the customer claim form, and instructions as well as the SIPC brochure may be found on SIPC's

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

TO ALL CUSTOMERS OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC:

Enclosed are the following documents concerning the liquidation of the business of Bernard L. Madoff Investment Securities LLC (the "Debtor"):

- 1. A Notice;
- 2. A Customer Claim Form with Instructions; and
- A brochure entitled "How SIPC Protects You."

You are urged to read the enclosed documents carefully. They explain the steps you must take to protect any rights and claims you may have in this liquidation proceeding.

The Customer Claim form should be filled out by you and mailed to Irving H. Picard, Esq., Trustee for the Liquidation of the Business of Bernard L. Madoff Investment Securities LLC at: Irving H. Picard, Esq., Trustee for Bernard L. Madoff Investment Securities LLC, Claims Processing Center, 2100 McKinney Ave., Suite 800, Dallas, TX 75201. A return envelope for the completed Customer Claim form is enclosed. Please make a copy of the completed Customer Claim form for your own records.

Your Customer Claim form will not be deemed to be filed until received by the Trustee. It is strongly recommended your claim be mailed certified mail, return receipt requested. Your return receipt will be the only document you will receive that shows your claim has been received by the Trustee.

If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received. It is also important that you provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of any cash amounts and any securities given to the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

While your claim is being processed, you may be requested to file additional information or documents with the Trustee to support the validity of your claim.

It is your responsibility to report accurately all securities positions and money balances in connection with your account with the Debtor. A false claim or the retention of property to which

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

READ CAREFULLY

INSTRUCTIONS FOR COMPLETING CUSTOMER CLAIM FORM

These instructions are to help you complete the customer claim form enclosed. If Bernard L. Madoff Investment Securities LLC ("Broker") owes you cash or securities and you wish to claim them, the trustee must **receive** your claim on or before the date specified on the claim form. An improperly completed claim form will not be processed but will be returned to you and, consequently, will cause a delay in the satisfaction of your claim.

Item 1 is to be completed if on the date shown, the Broker owed you cash or if you owed the Broker cash.

If the Broker owes money to you, please indicate the amount in the space provided [Item 1a]. If you owe the Broker money, please so indicate in the space provided [Item 1b]. If the Broker owes you securities and you wish to receive those securities without deduction, then you must enclose your check for the amount shown in Item 1c payable to "Irving H. Picard, Esq., Trustee for the Broker." Payments not enclosed with this claim form will not be accepted by the trustee for purposes of determining what securities are to be distributed to you.

Item 2 deals with securities (including any options) held for you. If the Broker is holding securities for you or has failed to deliver securities to you, please indicate by checking the appropriate box under Item 2 and set forth in detail the information required with respect to the date of the transaction, the name of the security and the number of shares or face value of bonds. With respect to options, set forth number and type of options, the exercise price and expiration date, e.g., 3 options [call] or [put] Xerox at 70 2x October 81. PLEASE DO NOT CLAIM ANY SECURITIES YOU ALREADY HAVE IN YOUR POSSESSION.

It would expedite satisfaction of your claim if you enclose copies of:

1. Your last account statement;

08-01789-cgm Doc-2815-1 Filed 07/27/10 Entered 07/27/10 14:46:53 Exhibit A Pg 21 of 22

JANET JAFFIN BERNARD MAD	OFF - INVESTMENT HISTO	RY	 		
INCEPTION - FE	BRUARY 5, 1987	-			
DATE		<u> </u>	INITIAL INVESTMENTS	WITHDRAWALS	JANET JAFFIN
	INTIAL INVESTMENT	#6	25,000.00	<u></u>	25,000.00
	NET EARNINGS - 1987	ļ			4,662.75
		ļ'			29,662.75
12/31/87	YEAR END VALUE			-	
06/20/88	INVESTMENT	# 61	100,000.00		100,000.00
	NET EARNINGS - 1988	<u> </u>			12,021.81
12/31/88	YEAR END VALUE	<u> </u>		-	141,684.5
	INVESTMENT	# 61	100,000.00		100,000.00
03/20/00	NET EARNINGS - 1989				29,941.4
		1			271,625.9
	YEAR END VALUE	11.04	100,000,00		100,000.00
	INVESTMENT INVESTMENT	# 61 # 61	100,000.00 100,000.00		100,000.00
	NET EARNINGS - 1990				94,788.1
12/31/90	YEAR END VALUE	Ţ			566,414.0
	INVESTMENT	#6	12,500.00		12,500.0
	INVESTMENT	#6	12,500.00		12,500.0
	NET EARNINGS - 1991	<u> </u>			105,775.8
12/31/91	YEAR END VALUE	-			697,189.9
04/09/92	INVESTMENT		100,000.00		100,000.0
	NET EARNINGS - 1992	+			19,788.6
		-			816,978.5
	YEAR END VALUE	<u> </u>	400 000 00		100,000.0
	INVESTMENT		100,000.00 50,000.00		50,000.0
12/31/93	INVESTMENT	\pm	100,000.00		100,000.0
	NET EARNINGS - 1993				833,174.9
12/31/93	YEAR END VALUE				1,900,153.5
12/29/94	INVESTMENT		100,000.00		100,000.0
	NET EARNINGS - 1994	-			301,519.4
4010410	VEAR END VALUE	-			2,301,673.0
12/31/94	YEAR END VALUE				
12/28/95	INVESTMENT		250,000.00		250,000.0
	ļ	-			
	NET EARNINGS - 1995				416,543.0
12/31/9	YEAR END VALUE				2,968,216.0
	INVESTMENT		500,000.00		500,000.0
12/23/90	NET EARNINGS - 1996				576,949.0
					4,045,165.0
	YEAR END VALUE	+	400 000 00		100,000.0
08/26/9	7 INVESTMENT	 	100,000.00		
	NET EARNINGS - 1997				849,092.0
12/31/9	YEAR END VALUE				4,994,257.
	NET EARNINGS - 1998				899,033.4
		— —			5,893,290.
12/31/9	8 YEAR END VALUE				
	i .	i i	i		1,358,332.

NIET IATTIN		+		
NET JAFFIN RNARD MADOF	FF - INVESTMENT HISTORY	 		
CEPTION - FEBR				
	TOAKT 0, 1507		MITUDDAMALD	JANET JAFFIN
DATE		INITIAL INVESTMENTS	WITHDRAWALS	
12/31/99 Y	EAR END VALUE			7,251,622.7
N	ET EARNINGS - 2000			1,023,432.3
12/31/00 Y	EAR END VALUE			8,275,055.0
04/01/01 W	VITHDRAWAL	 	(3,000,000.00)	(3,000,000.0
	RANSFER - FROM GMJ	2,078,068.75		2,078,068.7
N	ET EARNINGS - 2001			1,246,924.5
12/31/01 Y	EAR END VALUE			8,600,048.3
04/01/02 W	VITHDRAWAL		(3,000,000.00)	(3,000,000.0
				869,302.2
N	IET EARNINGS - 2002			
12/31/02 Y	EAR END VALUE	 		6,489,350.5
05/01/03 V	VITHDRAWAL		(150,000.00)	(150,000.0
05/01/03 V	VITHDRAWAL		(70,000.00)	(1,500,000.0
	VITHDRAWAL	 	(1,500,000.00) (700,000.00)	(700,000.0
	VITHDRAWAL	1,500,000.00	(1.00,000.00)	1,500,000.0
	NVESTMENT	1,000,000.00		742,093.7
	IET EARNINGS - 2003			6,311,444.
12/31/03 Y	EAR END VALUE			0,011,444.2
05/17/04 V	WITHDRAWAL		(500,000.00)	(500,000.0
Ň	NET EARNINGS - 2004			845,025.
12/31/04 Y	EAR END VALUE			6,656,469.
05/03/05 V	MTHDRAWAL		(10,000.00)	(10,000.
				668,347.
	NET EARNINGS - 2005			7,314,817.
	YEAR END VALUE			995,834.
	NET EARNINGS - 2006			8,310,651.
12/31/06	YEAR END VALUE			
05/03/07 V	WITHDRAWAL		(1,500,000.00)	(1,500,000.
	NET EARNINGS - 2007			864,459.
12/31/07	YEAR END VALUE			7,675,110.
05/06/08 V	MTHDRAWAL		(2,250,000.00)	(2,250,000
	NET EARNINGS - THRU 11/30/0	88		652,968
11/30/08	BALANCE LAST STMT			6,078,079
	TOTALS	5,328,068.75	(12,680,000.00)	
CCOUNT SUMM	IARY 02/05/87 - 11/30/08			
	BALANCE 02/05/87	0.00		· · · ·
	ADD:			
	CONTRIBUTIONS	5,328,068.75 13,430,010.52		
11	EARNINGS	15,450,010.52		
			1	1
	LESS:	(40,000,000,000		+
	LESS: WITHDRAWALS	(12,680,000.00)		